

# Collisional evolution of eccentric planetesimal swarms

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#### **ABSTRACT**

Models for the steady-state collisional evolution of low eccentricity planetesimal belts identify debris discs with hot dust at 1 au, such as  $\eta$  Corvi and HD 69830, as anomalous since collisional processing should have removed most of the planetesimal mass over their >1 Gyr lifetimes. This paper looks at the effect of large planetesimal eccentricities ( $e \gg 0.3$ ) on their collisional lifetime and the amount of mass that can remain at late times  $M_{\text{late}}$ . Assuming an axisymmetric planetesimal disc with common pericentre distances and eccentricities e, we find that  $M_{\text{late}} \propto$  $e^{-5/3}(1+e)^{4/3}(1-e)^{-3}$ . For a scattered disc-like population (i.e. with common pericentre distances but range of eccentricities), in the absence of dynamical evolution, the mass evolution at late times would be as if only planetesimals with the largest eccentricity were present in the disc. Despite the increased remaining mass, higher eccentricities do not increase the amount of hot emission from the collisional cascade until e > 0.99, partly because most collisions occur near pericentre, thus increasing the dust blow-out diameter. However, at high eccentricities (e > 0.97) the blow-out population extending outwards from pericentre may be detectable above the collisional cascade; higher eccentricities also increase the probability of witnessing a recent collision. All of the imaging and spectroscopic constraints for  $\eta$  Corvi can be explained with a single planetesimal population with pericentre at 0.75 au, apocentre at 150 au and mass of  $5 M_{\oplus}$ ; however, the origin of such a high eccentricity population remains challenging. The mid-infrared excess to HD 69830 can be explained by the ongoing destruction of a debris belt produced in a recent collision in an eccentric planetesimal belt, but the lack of farinfrared emission would require small bound grains to be absent from the parent planetesimal belt, possibly due to sublimation. The model presented here is applicable wherever nonnegligible planetesimal eccentricities are implicated and can be readily incorporated into *N*-body simulations.

**Key words:** circumstellar matter – planetary systems: formation.

### 1 INTRODUCTION

A natural byproduct of the planet formation process, at least in the core accretion model, is the formation of planetesimals (Lissauer 1993). Evidence for planetesimals following the protoplanetary disc phase comes from debris discs, a phenomenon in which main-sequence stars exhibit an infrared excess which is attributed to the thermal emission of dust released from planetesimals in collisions and sublimation (see review in Wyatt 2008). The Solar system has its own debris disc, the majority of which is in the asteroid and Kuiper belts.

Typically extrasolar debris discs are observed to lie in a ring at a single radius (Greaves et al. 2005; Kalas, Graham & Clampin 2005; Schneider et al. 2009), or where they are not imaged the emission spectrum is dominated by a single temperature (Chen et al. 2006). This motivates considering these discs as planetesimal belts that are

directly analogous to the asteroid and Kuiper belts (Moro-Martín et al. 2008), and the discs where dust is detected at multiple radii (Wyatt et al. 2005; Absil et al. 2006; Backman et al. 2009; Chen et al. 2009; Smith et al. 2009a) are usually inferred to have multiple planetesimal belts. In the absence of other dynamical processes, the evolution of these belts is expected to be dominated by collisions which grind away the mass of the largest objects into dust, which is subsequently removed by radiation pressure (or P-R drag in the case of the Solar system; Wyatt 2009).

The collisional evolution of the planetesimal belts of the Solar system has been studied extensively. Collision rates can be derived accurately between objects moving on given orbits (Öpik 1951; Wetherill 1967; Greenberg 1982; Bottke et al. 1994; Vedder 1996; Dell'Oro & Paolicchi 1998), and the steady-state size distribution of the belts resulting from their collisional evolution is both well understood analytically (Dohnanyi 1969; Tanaka, Inaba & Nakazawa 1996; O'Brien & Greenberg 2003; Kobayashi & Tanaka 2009) and one-dimensional numerical models of this evolution that include a

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realistic prescription for the outcome of collisions provide a good fit to the observed size distributions in the asteroid belt (Davis et al. 1989; Durda, Greenberg & Jedicke 1998; Bottke et al. 2005; O'Brien & Greenberg 2005) and Kuiper belt (Davis & Farinella 1997; Stern & Colwell 1997; Kenyon & Bromley 2004).

The approach to considering the collisional evolution of extrasolar debris discs is slightly different in that the orbital element and size distributions of the parent bodies are poorly constrained, rather it is important to generalize the effect of this evolution on debris disc observability with respect to parameters such as initial planetesimal belt mass, radius and mean eccentricity. Analytical models that achieve this were developed by Dominik & Decin (2003) who considered the evolution of a mono-disperse planetesimal belt (i.e. with planetesimals all of the same size) that feeds a population of smaller planetesimals and dust that is observed. This model was later refined by Wyatt et al. (2007a) to consider the parent planetesimals and the smaller objects to form a continuous size distribution defined by a single power law as expected for the steady-state case where planetesimal strength is independent of size (Dohnanyi 1969; Tanaka et al. 1996). A size-dependent planetesimal strength was later included in such models by Löhne, Krivov & Rodmann (2008) resulting in a more realistic three-phase size distribution (e.g. O'Brien & Greenberg 2003). Both the Wyatt et al. (2007b) and Löhne et al. (2008) models were applied to the statistics of detections of debris discs around A stars and Sun-like stars to show that these could be explained if the majority of such debris discs evolve purely due to steady-state collisional evolution.

One important result that came out of the Wyatt et al. (2007a) study was the concept of a maximum planetesimal belt mass, and hence a maximum dust luminosity, that can remain for a given radius belt at a given time, regardless of its initial mass. Although this is no longer strictly true when a size-dependent strength is used, Löhne et al. (2008) showed that the initial mass has a relatively modest effect on the mass remaining at late times and concurred that for realistic planetesimal belt parameters there is indeed a maximum planetesimal belt mass and dust luminosity for a given age (see also Heng & Tremaine 2009). This concept was used by Wyatt et al. (2007a) to show that 1–2 Gyr systems, such as  $\eta$  Corvi and HD 69830 that have large quantities of hot dust at ∼1 au (Beichman et al. 2005; Wyatt et al. 2005; Smith, Wyatt & Dent 2008), cannot be replenishing that dust from planetesimal belts that are coincident with the dust (i.e. analogous asteroid belts). They concluded that the parent bodies of the observed dust must have originated at larger radii (>> several au) where collisional processing times would have been longer. The paper also concluded that the hot dust is transient and proposed that this might have been scattered in from an outer belt in an epoch akin to the Late Heavy Bombardment in the Solar system (see review in Hartmann et al. 2000). There are now several examples of systems exhibiting hot dust that appears to be transient by the criterion described by (Wyatt et al. 2007a; e.g. di Folco et al. 2007; Akeson et al. 2009; Moór et al. 2009).

The motivation of this paper is to consider whether it is possible to circumvent the conclusion that the hot dust in systems such as  $\eta$  Corvi and HD 69830 must be transient by postulating a population of parent planetesimals on highly eccentric orbits ( $e \gg 0.3$ ). In such a model the hot dust would originate from material close to pericentre, and the parent population could be long-lived because the planetesimals spend most of their time at apocentre. This would challenge our traditional view of debris discs as belts of planetesimals with modest eccentricity (e < 0.3), which is also implicit in the models of Wyatt et al. (2007a), where collision velocities are assumed to be proportional to the Keplerian velocity times a

mean eccentricity for the belt, and in the models of Löhne et al. (2008), where eccentricities up to 0.35 were considered. However, it is clear from the Solar system that there are also populations of planetesimals on highly eccentric orbits ( $e \gg 0.3$ ): the comets scattered in from the Kuiper belt (Duncan 2008), the scattered disc of the Kuiper belt (which may be primordial in origin and extends all the way to the Oort cloud; Gomes et al. 2008) and the Near Earth Asteroids (Bottke et al. 2002). While the contribution of these populations to the dust content of the zodiacal cloud may be small, the cometary contribution could be as much as 90 per cent (Ipatov et al. 2008; Nesvorný et al. 2009) and may have been significantly higher in the past, e.g. during the epoch known as the Late Heavy Bombardment (Gomes et al. 2005; Booth et al. 2009). Furthermore the opposite may be true for planetary systems with different architectures and formation scenarios, in which eccentric planetesimals may dominate. Indeed, planet formation models often predict a highly eccentric remnant planetesimal population (Edgar & Artymowicz 2004; Mandell, Raymond & Sigurdsson 2007; Payne et al. 2009).

Thus, here we develop the model of Wyatt et al. (2007a) to include interactions between planetesimals of arbitrary eccentricities and semimajor axes (and inclinations). Although this model does not (yet) include the more realistic assumption of a size-dependent planetesimal strength, it benefits by providing simple analytical formulae for collision lifetimes from which the observability of a planetesimal belt as a function of its eccentricity can be readily assessed. The inclusion of a size-dependent strength would be expected to give results within an order of magnitude of those presented here (see e.g. fig. 11 of Löhne et al. 2008), a level of uncertainty that is commensurate with the uncertainty in estimates for planetesimal strength at each size for the Solar system and for different assumptions about planetesimal composition (see e.g. fig. 1 of Durda et al. 1998; fig. 11 of Leinhardt & Stewart 2009).

In Section 2 we consider the collisional evolution of an axisymmetric disc of planetesimals, all of which have the same pericentre and apocentre distances, and show that the concept of a maximum remaining mass for a given age also applies in this case, but that the remaining mass is higher for higher eccentricities (if the pericentre distance is kept constant). The approach to calculating collision rates is similar to that of Bottke et al. (1994) in that we assume random mean longitudes, arguments of pericentre and longitudes of the ascending node, but differs in using a particle-in-a-box approach to calculating the collision rate at a particular point on the orbit then integrating around the orbit (as opposed to calculating this from the fraction of the orbits that the planetesimals spend close enough that they overlap in physical space). Our collision rate at each point also includes an integration over the size distribution of impactors that can cause a catastrophic collision, whereas this integration is performed after calculating the intrinsic collision probability by Bottke et al. (1994), requiring that method to keep track of the velocity probability distribution. Our integration is performed using a Monte Carlo technique, but in the case of mutual collisions amongst a population with common eccentricities and semimajor axes, and assuming that collision velocities are dominated by radial motion (due to eccentricities) rather than vertical motion (due to inclinations), the collision rate can also be derived analytically.

In Section 3 we consider the more general situation in which planetesimals can interact with planetesimals with different pericentre and apocentre distances and show that our collision rates agree with those of the most accurate studies available in the literature. To consider the evolution of a realistic planetesimal belt where a range of eccentricities and semimajor axes is present, we adopt an

approach similar to Krivov, Sremcevic & Spahn (2005) and Krivov, Löhne & Sremcevic (2006) in that we consider the evolution of the phase-space distribution. Here we outline a scheme for evolving the phase-space distribution numerically and apply this to a scattered-disc-like population with common pericentre and a range of apocentre distances. The emission properties of eccentric rings are considered in Section 4 to assess if the emission spectrum of real systems can be consistently explained by steady-state processing given the stellar ages. The conclusions are given in Section 5.

# 2 COLLISIONAL LIFETIME OF SINGLE PERICENTRE-APOCENTRE POPULATION

Our approach to calculating collision rates is based on the particlein-a-box approach, wherein the planetesimals are assumed to be spread uniformly around an annulus and to have a mean collision velocity (e.g. equation 28 of Wetherill 1967). The resulting collision rate is accurate to a factor of 2 when the asteroid belt is considered as a single annulus (Wetherill 1967). Such techniques have also been well developed for studies of the accumulation of planetesimals into planets (e.g. Greenberg et al. 1978; Wetherill & Stewart 1989) and it is possible to derive precise collision rates for certain assumptions about the distributions of planetesimal eccentricities and inclinations, such as those that follow a Rayleigh distribution (Greenzweig & Lissauer 1990; Lissauer & Stewart 1993). However, the derived collision rates are only valid where eccentricities and inclinations are  $\ll 1$ . Here we consider collision rates between pairs of orbits that can (but do not necessarily) have significant eccentricity by splitting up the orbits into annuli, since the density and velocity distributions in each annulus are well defined and the particle-in-abox approach can be used to work out accurate collision rates which can then be integrated around the orbit; in this respect, our approach to calculating collision rates is similar to that of Spaute et al. (1991) which assumed e,  $I \ll 1$ .

### 2.1 Local collision rates

Consider a planetesimal of diameter D that is moving through a disc of planetesimals with a range of sizes, where the size distribution is defined such that  $\bar{\sigma}(D_{\rm im}){\rm d}D_{\rm im}$  is the fraction of the total cross-sectional area in the distribution that is in the size range of  $D_{\rm im}$  to  $D_{\rm im}+{\rm d}D_{\rm im}$ . If the local volume density of the cross-sectional area of planetesimals (of all sizes) is  $\sigma_{\rm v}$  in au²/au³, and the relative velocity of collisions is  $v_{\rm rel}$  in m s<sup>-1</sup>, then a particle-in-a-box calculation gives the local rate of impacts from planetesimals in the size range of  $D_{\rm im}$  to  $D_{\rm im}+{\rm d}D_{\rm im}$  as  $R_{\rm col}(D,D_{\rm im}){\rm d}D_{\rm im}$  where

$$R_{\rm col}(D, D_{\rm im}) = 2.11 \times 10^{-4} f(D, D_{\rm im}) \sigma_{\rm v} v_{\rm rel}$$
 (1)

in yr<sup>-1</sup>, where the constant here (and in later equations) arises from the choice of units for the various parameters, and

$$f(D, D_{\rm im}) = \bar{\sigma}(D_{\rm im})(1 + D/D_{\rm im})^2.$$
 (2)

Note that due to the high relative velocities in an eccentric disc, we have ignored gravitational focussing in this collision rate (see Section 2.4.3).

The majority of the collisions suffered by the planetesimal cause negligible mass loss. Although the cumulative effect of such cratering collisions can be important (e.g. Kobayashi & Tanaka 2009),

<sup>1</sup>Note that the Krivov et al. (2005) model is not accurate for high eccentricities because of the way the mean impact velocity was calculated (see Section 3.2).

here we consider the rate at which the planetesimal suffers collisions that have sufficient energy to cause catastrophic disruption. A catastrophic collision is defined as the one in which the largest fragment remaining following the collision (due to both shattering and subsequent gravitational reaccumulation) has half the mass of the original planetesimal, and a planetesimal's dispersal threshold  $Q_{\rm D}^{\star}$  (in J kg<sup>-1</sup>) is defined such that catastrophic collisions are those in which the specific incident kinetic energy exceeds  $Q_{\rm D}^{\star}$ . Dispersal thresholds have been ascertained for planetesimals of varying sizes and composition using a combination of laboratory experiments and numerical modelling (e.g. Fujiwara et al. 1989; Benz & Asphaug 1999). This definition means that for a given collision velocity, there is a minimum size of object that the planetesimal must be impacted by to be catastrophically destroyed. Denoting this as  $D_{tc}$  gives the minimum relative size of the impactor to target for catastrophic disruption as  $X_c = D_{tc}/D$ , where

$$X_{\rm c} = \left(2Q_{\rm D}^{\star}/v_{\rm rel}^2\right)^{1/3}.\tag{3}$$

Working out the rate of catastrophic collisions,  $R_{\rm cc}$ , then requires integrating equation (1) from  $D_{\rm tc}$  up to the largest object in the size distribution

$$R_{cc}(D) = 2.11 \times 10^{-4} f_{cc}(D) \sigma_{\rm v} v_{\rm rel},$$
 (4)  
where  $f_{cc}(D) = \int_{D_{\rm tr}(D)}^{D_{\rm max}} f(D, D_{\rm im}) dD_{\rm im}.$ 

#### 2.2 Evolution of the size distribution

To simplify our model for the evolution of the size distribution, we make the assumption that the distribution follows a single power law

$$n(D) \propto D^{2-3q_{\rm d}} \tag{5}$$

between sizes  $D_{\min}$  (in  $\mu$ m) and  $D_{\max}$  (in km), where the planetesimals are assumed to be spherical to get  $\bar{\sigma}(D) \propto D^{4-3q_d}$ . For the situation where the planetesimal strength (i.e. the dispersal threshold) is independent of size and the size distribution has no maximum or minimum size, it is well known that the planetesimal belt's steady-state solution has a power-law size distribution with  $q_d$  = 11/6 (Dohnanyi 1969; Tanaka et al. 1996), which we use throughout this paper. This slope arises because it means that the mass loss rate in each size bin is both independent of size and balanced by mass gain from the fragmentation of larger planetesimals. A more realistic size distribution is truncated both at small sizes (e.g. due to radiation pressure) and at large sizes (e.g. set by the largest object which formed in the belt). The truncation of the size distribution at the small size end causes a ripple in the steady-state size distribution (Campo-Bagatin et al. 1994). However, the truncation at large sizes has an important longer term effect, as it means that collisions eventually deplete the number of large objects, since these are no longer being replenished by the destruction of yet bigger objects.

In the simple model proposed by Wyatt et al. (2007a), the size distribution is considered to be in a quasi-steady state thus maintaining the power-law slope of equation (5), but for mass to be lost as the largest planetesimals are depleted on their catastrophic collision time-scale. For the size distribution of equation (5), the factor in the collision rate for the largest planetesimals is

$$f_{\rm cc}(D_{\rm max}) = (10^{-9} D_{\rm min}/D_{\rm max})^{3q_{\rm d}-5} G(q_{\rm d}, X_{\rm c}),$$
 (6)

where the assumption that  $q_{\rm d}=11/6$  results in  $G(q,X_{\rm c})=0.2X_{\rm c}^{-2.5}+0.67X_{\rm c}^{-1.5}+X_{\rm c}^{-0.5}-1.87$  (see Wyatt et al. 2007a), which we further simplify to  $G(11/6,X_{\rm c})\approx0.2X_{\rm c}^{-2.5}$  which is accurate to 71 per cent for  $X_{\rm c}<0.87$  and to 30 per cent for  $X_{\rm c}<0.1$ .

This means that the local catastrophic collision rate of the largest planetesimals is

$$R_{\rm cc}(D_{\rm max}) \approx 7.49 \times 10^{-10} D_{\rm min}^{0.5} D_{\rm max}^{-0.5} Q_{\rm D}^{\star -5/6} \sigma_{\rm v} v_{\rm rel}^{8/3}$$
. (7)

Since most of the objects which are causing this catastrophic destruction are both large and contain most of the mass (but little of the cross-sectional area), it is more appropriate to rewrite equation (7) using the following relation

$$\sigma_{\text{tot}} = 12650 M_{\text{tot}} \rho^{-1} D_{\text{min}}^{-0.5} D_{\text{max}}^{-0.5}$$
(8)

in au<sup>2</sup>, where  $M_{\rm tot}$  is the total mass in the distribution in  ${\rm M}_{\oplus}$  and  $\rho$  is the planetesimal density in kg m<sup>-3</sup>, to find that

$$R_{\rm cc}(D_{\rm max}) = K M_{\rm tot} \bar{\sigma}_{\rm v} v_{\rm rel}^{8/3}, \tag{9}$$

where

$$K = 9.5 \times 10^{-6} \rho^{-1} D_{\text{max}}^{-1} Q_{\text{D}}^{\star -5/6}$$
 (10)

and  $\bar{\sigma}_{\rm v}=\sigma_{\rm v}/\sigma_{\rm tot}$  is the normalized volume density of the cross-sectional area in au<sup>-3</sup>. Later in the paper, we adopt  $K=2.1\times 10^{-14}$  as a fiducial value corresponding to  $\rho=2700~{\rm kg~m^{-3}}$ ,  $D_{\rm max}=2000~{\rm km}$  and  $Q_{\rm D}^{\star}=200~{\rm J~kg^{-1}}$ , or some other equivalent combination of these parameters.

We acknowledge that the above prescription gives a highly simplistic view of the evolution of the size distribution. For example, for an infinite collisional cascade in which  $Q_D^{\star} \propto D^{\alpha}$  the size distribution is still expected to follow a power law, but with a slope that depends on α (O'Brien & Greenberg 2003; Kobayashi & Tanaka 2009). Since experiments have shown that  $Q_D^{\star}$  has a different slope at small sizes (in the strength regime) to that at large sizes (in the gravity regime), and the primordial planetesimal distribution may differ from that expected when the distribution reaches a steady state, a more realistic prescription for the size distribution has three power laws in different size regimes (Löhne et al. 2008), with numerical simulations showing that the transition from strength to gravity scaling also causes a further wiggle in the size distribution (Durda et al. 1998). However, despite the seemingly simplistic view of the evolution presented here, it was found that its predictions for the evolution of mass and area in a planetesimal belt are accurate to within an order of magnitude of more detailed models (see e.g. fig. 11 of Löhne et al. 2008). Given that there are large uncertainties in the various parameters that make up the constant K (equation 10) – e.g. estimates for planetesimal strength at a given size vary by several orders of magnitude both for constraints from the Solar system's debris belts and for different assumptions about planetesimal composition (see e.g. fig. 1 of Durda et al. 1998; fig. 11 of Leinhardt & Stewart 2009) - we consider that this model is sufficient to assess the impact of planetesimal eccentricity on the observability of a debris disc, but note that it is possible to construct a more realistic (and more complex) prescription for the size distribution if assumptions about how planetesimal strength varies as a function of size are made.

### 2.3 Low eccentricity approximation

Collision rates calculated using particle-in-a-box methods such as that described above typically use what we will call the low eccentricity approximation, since the assumptions break down when  $e \gg 0.3$ . When applied to a planetesimal belt such as the asteroid belt (e.g. Wetherill 1967), it is assumed that the planetesimals are spread uniformly throughout a torus of radius r, width dr and vertical extent  $2I_{\rm max}r$ , so that normalized cross-sectional area density can be

approximated at all locations within the torus by

$$\bar{\sigma}_{\rm v} = \left(4\pi r^2 \mathrm{d}r I_{\rm max}\right)^{-1}.\tag{11}$$

Secondly it is assumed that, although collisions in a realistic planetesimal belt occur at a range of relative velocities, collision rates can be calculated using a mean relative velocity; this can either be calculated by considering the observed distribution of orbital elements (i.e. for the asteroid belt) or where this is not known by assuming that it is proportional to the Keplerian velocity at *r*:

$$v_{\rm k}(r) = 2.98 \times 10^4 M_{\star}^{0.5} r^{-0.5},$$
 (12)

in m s<sup>-1</sup>, where  $M_{\star}$  is in M<sub> $\odot$ </sub> and r in au, through the mean eccentricities and inclinations of planetesimals' orbits so that

$$v_{\rm rel}/v_{\rm k} = f(e, I). \tag{13}$$

It can be shown that for Rayleigh distributions of eccentricities and inclinations, the mean relative velocities between planetesimals is given by  $f(e, I) = e\sqrt{1.25 + (I/e)^2}$  (equation 17 of Lissauer & Stewart 1993). These two assumptions can be fed directly into equation (9) to get an expression for the collision rate which in this approximation is the same for the largest planetesimals at all locations within the torus:

$$R_{cc}(D_{\text{max}}) = 1.9 \times 10^{6} \rho^{-1} D_{\text{max}}^{-1} Q_{\text{D}}^{\star -5/6} M_{\text{tot}} \times r^{-13/3} (dr/r)^{-1} M_{\star}^{4/3} I_{\text{max}}^{-1} e^{8/3},$$
(14)

where it was further assumed that I = e.

#### 2.4 Higher eccentricities

For higher eccentricities ( $e \gg 0.3$ ) the assumptions of Section 2.3 break down, because it is expected that both the cross-sectional area density and the relative velocity of collisions are significantly different at different locations within the torus and so vary around the planetesimals' orbits. To calculate the collision rate between planetesimals on eccentric orbits, we use an approach that differs from that pioneered by Öpik (1951), but show in Section 3.2 that the two approaches get identical results. The method is based on the particle-in-a-box approach in that it assumes that equations (4) and (9) provide good estimates for the planetesimal's local collision rates as long as the volume density and relative velocity of collisions at that location are well known. For the orbital element distributions we consider, we expect these to be well characterized and to depend only on radius (and latitude), and so the collision rate can be calculated by integrating the local rate along the planetesimal's orbit.

To both work out the local collision rate and perform this integration, a Monte Carlo approach is used, wherein N planetesimals are chosen with orbital elements chosen randomly from given ranges. This section considers a disc of planetesimals, all with pericentre distances in the range of  $q - \mathrm{d}q/2$  to  $q + \mathrm{d}q/2$  and apocentre distances in the range of  $Q - \mathrm{d}Q/2$  to  $Q + \mathrm{d}Q/2$ , where  $\mathrm{d}q$  and  $\mathrm{d}Q$  are small enough to have no effect on the result, but are kept finite for practical reasons and to allow easy implementation in the model of Section 3. The disc is assumed to be axisymmetric so that pericentre orientation  $\varpi$  is chosen randomly from the range of 0 to  $2\pi$ , as is mean anomaly M. The orbital elements for these N planetesimals are then converted into two-dimensional positions and velocities.

# 2.4.1 Two-dimensional approximation

The three-dimensional structure of the disc is accounted for in this section by assuming that vertical motion has little effect on relative velocities which can thus be calculated in two dimensions, but that the vertical structure does affect the local cross-sectional area density which is accounted for by assuming a vertical extent of  $2rI_{\text{max}}$ . Given the axisymmetric structure of the disc, the collision rate should be the same for planetesimals at the same radius. Thus, the disc was split into a number of annuli,  $N_{\text{ann,r}}$ , logarithmically spaced in radius between q - dq/2 and Q + dQ/2.

The planetesimal positions were then used to derive  $\bar{\sigma}(r)$  which is both the fraction of the total cross-sectional area in the annulus at  $r \pm dr/2$  and the fraction of time spent by planetesimals in the different annuli as they go around their orbit. Further dividing by the volume of the annulus,  $dV_{2D} = 4\pi r^3 (dr/r) I_{\text{max}}$  gives the normalized volume density  $\bar{\sigma}_v(r)$ . For each planetesimal, its nearest neighbour is found and the difference in their velocities used to estimate the relative velocity of collisions. Taking relative velocities to the 8/3 power and averaging for each annulus result in  $\langle v_{\text{rel}}^{8/3} \rangle(r)$ . The mean collision rate for individual planetesimals of size  $D_{\text{max}}$  in this population is then calculated using

$$R_{\rm cc}(D_{\rm max}) = K M_{\rm tot} \sum_{r} \bar{\sigma}(r) \bar{\sigma}_{\rm v}(r) \left\langle v_{\rm rel}^{8/3} \right\rangle(r), \tag{15}$$

where  $\sum_{r}$ , and similar notation elsewhere, means to sum over radius, and the extra  $\bar{\sigma}(r)$  term (compared with equation 9) accounts for the fraction of time the planetesimal spends in different annuli.

One further simplification is possible to this collision rate by expressing this in terms of  $\bar{r}=r/a$  using the fact that  $\bar{\sigma}(r)=\bar{\sigma}(\bar{r})$  and that relative velocity is proportional to the Keplerian velocity at r=a times some function of e and  $\bar{r}$  (see equation 19) so that

$$R_{cc}(D_{max}) = K M_{tot} v_k^{8/3}(a) a^{-3} (4\pi I_{max})^{-1} S(e), \tag{16}$$

$$S_{\rm 2D}(e) = \sum_{\bar{r}} \bar{r}^{-2} d\bar{r}^{-1} \bar{\sigma}(\bar{r})^2 \left\langle [v_{\rm rel}/v_{\rm k}(a)]^{8/3} \right\rangle(\bar{r}), \tag{17}$$

where  $S(e) = S_{2D}(e)$  in equation (16) in the two-dimensional approximation.

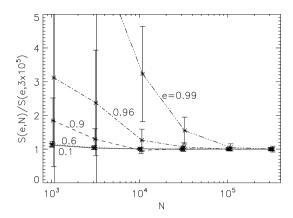
Successful implementation of this routine requires that the number of planetesimals (N) is sufficiently large for the relative velocity of encounters to be well approximated by the difference in the velocities with nearest neighbours. The number of annuli  $(N_{\text{ann,r}})$  must also be sufficiently large to resolve the radial variations in the collision rate between pericentre and apocentre. To assess this, Fig. 1 plots S(e) as a function of N for  $N_{\text{ann,r}} = 40$ , normalized to the value expected when S(e) is calculated with  $N = 3 \times 10^5$  and  $N_{\text{ann,r}} = 40$ . It is evident that the solution converges for  $N > 10^5$  for  $e \le 0.99$ . A similar plot showing the effect of changing the number of radial bins shows  $N_{\text{ann,r}} = 40$  is sufficient for this eccentricity range, with values as low as 10 also giving results within 5 per cent.

#### 2.4.2 Analytical collision rates

The two-dimensional collision rates can also be derived analytically for a population with a common semimajor axis and eccentricity, as the various factors in equation (17) are the consequence of the 2-body Keplerian motion. The distribution of the cross-sectional area is determined by the rate of radial motion  $\bar{\sigma}(\bar{r})/d\bar{r} = 2a/(t_{\rm per}dr/dt)$ , where  $t_{\rm per}$  is the orbital period, giving

$$\bar{\sigma}/(d\bar{u}) = -\pi^{-1}\bar{u}^{-2}[\bar{u}^2(e^2 - 1) + 2\bar{u} - 1]^{-0.5},$$
 (18)

where  $\bar{u} = a/r$ . The relative velocity of collisions at each radius has a bimodal distribution with half of the collisions occurring at near zero velocity (for planetesimals moving in the same direction) and



**Figure 1.** The factor  $S_{2D}(e)$  from equation (17) determined numerically using different numbers of planetesimals N for e = 0.1, 0.6, 0.9, 0.96 and 0.99, compared with that determined using  $N = 3 \times 10^5$ . For each value of N, the calculation was repeated 10 times to determine the  $1\sigma$  uncertainty in the value derived in this way, and this is shown by the error bars. For all eccentricities below 0.99, the calculation has converged for  $N > 3 \times 10^5$ . The number of radial bins was set at 40 for this calculation, which similar plots show is sufficient for this eccentricity range.

the remainder at a velocity given by  $\sqrt{2-2\cos\phi}$  times the orbital velocity at that radius, where  $\phi$  is the angle between the velocity vectors for planetesimals moving in different directions (e.g. those returning to pericentre colliding with those that have just passed pericentre), giving

$$v_{\rm rel}/v_{\rm k}(a) = 2[\bar{u}^2(e^2 - 1) + 2\bar{u} - 1]^{0.5},$$
 (19)

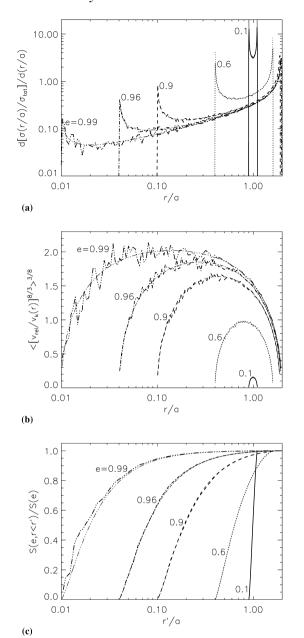
noting that  $\langle [v_{\rm rel}/v_{\rm k}(a)]^{8/3}\rangle(\bar{r})$  is 0.5 times the expression above to the 8/3 power. Putting these expressions into equation (17) and integrating over the range  $\bar{u}=(1-e)^{-1}$  to  $(1+e)^{-1}$  give the relevant factor in the equation for the collision rate as

$$S_{2D} = 0.54e^{5/3}(1 - e^2)^{-4/3}. (20)$$

## 2.4.3 Where do collisions occur, and at what velocity?

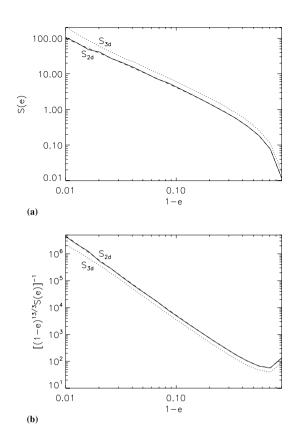
The first question we can answer with this model is where most of the collisions occur. This can be worked out from the distributions plotted in Fig. 2. Although there is also a small density enhancement at pericentre, planetesimals spend the majority of their time at apocentre (Fig. 2a; see e.g. fig. 4b of Sykes 1990). Nevertheless, the apocentric contribution to the overall collision rate is diminished due to both the  $r^{-2}$  term in equation (17) and the higher relative velocities at lower radii (Fig. 2b), where it should be noted that the factor in equation (17) is relative to the Keplerian velocity at r = a and so is Fig. 2b multiplied by  $\sqrt{a/r}$  then to the 8/3 power. The net result is that the majority of the collisions occur close to pericentre for all except the lowest eccentricities (Fig. 2c). The Monte Carlo simulation provides results in excellent agreement with the analytical calculation (Section 2.4.2) from which it can be found that 90 per cent of collisions occur at  $r/a < (1 - e^2)/(1 - 0.72e)$ .

As noted in Section 2.4.2, the distribution of collision velocities in this population is not uniform. Except for planetesimals that are close to pericentre or apocentre, relative velocities in each annulus have a bimodal distribution, as for planetesimals that have recently passed pericentre there is a low relative velocity population that has also recently passed pericentre and a high relative velocity population that is returning from apocentre to pericentre (as noted in



**Figure 2.** Radial distribution of the collision rate for mutual collisions amongst a population of planetesimals with common pericentre and apocentre distances. The plots indicate the contributions of different factors in the collision rate equation (17) as a function of radius for a population with eccentricities of 0.1, 0.6, 0.9, 0.96, 0.99. The results of both the Monte Carlo simulation and the analytical calculation are plotted showing excellent agreement. (a) The distribution of cross-sectional area density,  $\bar{\sigma}(\bar{r})/d\bar{r}$ ; the integral under the curve for each population is 1. (b) The average collision velocities of planetesimals at different radii relative to the local Keplerian velocity  $[\langle [v_{\rm rel}/v_{\rm k}(r)]^{8/3}\rangle(\bar{r})]^{3/8}$ . (c) The fraction of  $S_{\rm 2D}(e)$  that comes from radii below r'.

Section 2.4.2). Thus, the relative velocity of the high relative velocity population is approximately  $2^{3/8}$  times that shown in Fig. 2(b) and remains within the range of 1–2.5 times the local Keplerian velocity between apocentre and pericentre for e=0.6–0.99. This means that, for a population with pericentre at 1 au, collisions occur at velocities of 10– $100 \, \mathrm{km \, s^{-1}}$  and that relatively small planetesimals can cause destructive collisions (equation 3).



**Figure 3.** Dependence of collision lifetime on eccentricity. For a disc in which mass and semimajor axis are fixed, collision lifetime  $\propto 1/S(e)$ . The function S(e) is shown in (a), both calculated in the 2D approximation  $[S_{2D}(e),$  solid line] and with the full 3D calculation  $[S_{3D}(e),$  dotted line], as well as the analytical calculation of the 2D approximation (dashed line which lies under the solid line). For a disc in which mass and pericentre distance are fixed, collision lifetimes vary  $\propto [(1-e)^{13/3}S(e)]^{-1}$ . This function is shown in (b) with the same origin for the different linestyles as (a).

#### 2.4.4 Collision rate and remaining mass versus eccentricity

Fig. 3(a) shows how  $S_{\rm 2D}(e)$  varies with eccentricity, where the results of the Monte Carlo simulation closely follow the predictions of the analytical calculation in equation (20). The factor S(e) can be readily used to assess how changing the eccentricity of a planetesimal population affects its collisional lifetime using equation (16). It can also be used to consider how eccentricity affects the amount of disc mass that can remain at late times. For the assumptions about the evolution of the size distribution discussed in Section 2.2, the evolution of planetesimal belt mass from its initial value of  $M_{\rm tot0}$  can be calculated from the collisional rate using the equation  $dM_{\rm tot}/dt = -M_{\rm tot}R_{\rm cc}(D_{\rm max})$ , which can be solved to give the mass at time t in years to be

$$M_{\text{tot}}/M_{\text{tot0}} = [1 + (R_{\text{cc}}(D_{\text{max}})M_{\text{tot0}}/M_{\text{tot}})t]^{-1}.$$
 (21)

This means that at late times, the remaining mass converges to a value of (Wyatt et al. 2007a)

$$M_{\text{late}} = t^{-1} [M_{\text{tot}} / R_{\text{cc}}(D_{\text{max}})],$$
 (22)

$$= (4\pi I_{\text{max}}/K) \left[ a^3 / v_k^{8/3}(a) \right] [S(e)t]^{-1},$$
 (23)

where late means  $t \gg 1/R_{\rm cc}(D_{\rm max})$ .

Here we illustrate this in two ways. First, we consider a disc in which eccentricity is varied, but the semimajor axis and disc mass

are kept constant. The collisional lifetime of such a disc is  $\propto 1/S(e)$ , meaning that increasing eccentricity results in a shorter collisional lifetime. The mass remaining at late times is also  $\propto 1/S(e)$ , which from Fig. 3 decreases rapidly with eccentricity, because of the increased collision rate of material at a pericentre which tends to smaller radii.

However, if pericentre location and disc mass are kept fixed as eccentricity is increased, collisional lifetime instead varies  $\propto [(1 - e)^{13/3}S(e)]^{-1}$ . Rewriting equation (23) gives

$$M_{\text{late}} = [1.47 \times 10^{-11} I_{\text{max}} / K] M_{\star}^{-4/3} q^{13/3} t^{-1} [(1 - e)^{13/3} S(e)]^{-1},$$
(24)

so that the mass remaining is also  $\propto [(1-e)^{13/3}S(e)]^{-1}$ , and this function is plotted in Fig. 3(b). Thus, both collision lifetime and mass remaining increase as eccentricity is increased  $\propto e^{-5/3}(1+e)^{4/3}(1-e)^{-3}$ . Primarily, this is because planetesimals spend a larger fraction of their orbit at large radii resulting in both a longer time between collisions and a lower density of colliders in the region where collisions occur near pericentre; as illustrated in Fig. 2, increasing eccentricities also result in an enhanced collision velocity at pericentre which offsets this to some extent, but there is no significant change in collision velocities once eccentricities have increased beyond 0.4.

#### 2.4.5 Comparison with low eccentricity approximation

The collision rate in the low eccentricity approximation (Section 2.3) can also be expressed in the form of equation (16), where a = r and  $S(e) = S_{lowe}(e)$ :

$$S_{\text{lowe}} = 3.0(dr/r)^{-1}e^{8/3}.$$
 (25)

This could be plotted in Fig. 3, e.g. assuming that the width of the ring is dr/r = 2e, which would show agreement within an order of magnitude for e = 0.1–0.98. However, this comparison is less instructive than noting that the masses (and fractional luminosities) remaining at late times in Wyatt et al. (2007a) were derived using e = 0.05 and dr/r = 0.5 for which  $S_{lowe} = 2 \times 10^{-3}$  and  $[(1 - e)^{13/3}S_{lowe}(e)]^{-1} = 610$ . Thus to increase the amount of mass that can remain at late times above the values in that paper, assuming that the radius inferred for the population corresponds to the location of the pericentre of an eccentric ring, would require eccentricities higher than 0.78, with a factor of >100 increase for e > 0.96. This illustrates the point that collisional lifetimes (and remaining mass) can be increased both by increasing eccentricity and by spreading material over a broader range of radii.

### 2.4.6 Three-dimensional calculation

For the three-dimensional calculation, it is further assumed that the longitudes of ascending node  $\Omega$  are random and that inclinations are randomly chosen from the range of 0 to  $I_{\rm max}$ , and these were used to calculate three-dimensional positions and velocities for the planetesimals. Since the collision rate is then also a function of latitude, the disc was further split in latitude into  $N_{\rm ann,\phi}$  bins at  $\phi \pm {\rm d}\phi/2$ . The planetesimal positions were used to derive  $\bar{\sigma}(r,\phi)$ , which is the fraction of the total cross-sectional area in the annulus at  $r \pm {\rm d}r/2$  and  $\phi \pm {\rm d}\phi/2$ . Dividing this by the volume of the annulus,  ${\rm d}V_{\rm 3D} = 2\pi r^3 ({\rm d}r/r)\cos\phi{\rm d}\phi$ , gives the normalized volume density  $\bar{\sigma}_{\rm v}(r,\phi)$ . The mean velocities are also a function of  $\phi$ ,  $\langle v_{\rm s}^{8/3} \rangle (r,\phi)$ . This results in a collision rate given by equation (16) in which

 $S(e) = S_{3D}(e, I_{max})$ , where

$$S_{3D}(e, I_{\text{max}}) = \sum_{\bar{r}} \bar{r}^{-2} d\bar{r}^{-1} \sum_{\phi} (2I_{\text{max}}/\cos\phi d\phi)$$
$$\times \bar{\sigma}(\bar{r}, \phi)^{2} \langle [v_{\text{rel}}/v_{k}(a)]^{8/3} \rangle (\bar{r}, \phi). \tag{26}$$

We can now answer how important it is to account for the three-dimensional structure of the disc. For  $I_{\rm max}=0.05$  and using  $N_{\rm ann,\phi}=9$ , it was found that  $S_{\rm 3D}(e,~I_{\rm max})/S_{\rm 2D}(e)$  has a constant value of  $1.43\pm0.04$  for eccentricities in the range of 0.1–0.98 (see Fig. 3). We attribute this offset to the fact that an even distribution of inclinations between 0 and  $I_{\rm max}$  results in a higher density in the mid-plane (to achieve a uniform density, we could have used the inclination distribution function given by equation 2.20 of Krivov et al. 2005). Thus, the two-dimensional results give a good approximation of the collision rates in a three-dimensional disc, as long as the parameter  $I_{\rm max}$  used in this calculation is interpreted as there being a uniform distribution of inclinations between 0 and  $1.43I_{\rm max}$ . However, for low eccentricities  $e \ll I_{\rm max}$ , where collision velocities are not due only to radial and azimuthal motion, but also latitudinal motion, a full three-dimensional calculation would be necessary.

# 3 EVOLUTION SCHEME FOR ECCENTRIC PLANETESIMAL SWARM

The single pericentre and apocentre distance population of Section 2 was necessarily an idealized case given that the eccentric populations in the Solar system have a range of eccentricities. This section describes how the modelling method of Section 2 can be generalized for such a situation, both to calculate the collision rates between populations with different eccentricities and semimajor axes (Section 3.1) and to use these rates to model the collisional evolution of a planetesimal belt with a distribution of orbital elements (Section 3.3). The approach to modelling the collisional evolution is similar to the kinetic model employed by Krivov et al. (2005) in that we consider the evolution of the phase-space distribution, which here is just two dimensional and defined by  $M_{\rm tot}(q,Q)$ .

# 3.1 Collisions between two single pericentre–apocentre populations

The parameter space is divided into cells of size  $q\pm \mathrm{d}q/2$  and  $Q\pm \mathrm{d}Q/2$ , hereafter simply referred to as cell (q,Q), where  $M_{\mathrm{tot}}(q,Q)$  is the total mass in that cell. Cells are logarithmically spaced. For now it is assumed that the distribution of inclinations is the same for each cell, and other angles are randomized as before, and so where needed the spatial distribution from material in each cell  $(\bar{\sigma}(r)(q,Q))$  and  $\bar{\sigma}_{v}(r)(q,Q))$  can be ascertained in one of the ways described in Section 2.4.

The scheme described in Section 2 can be used in a similar manner to work out the rate of catastrophic impacts on to planetesimals of size  $D_{\text{max}}$  in cell  $(q_1, Q_1)$  from planetesimals in cell  $(q_2, Q_2)$ :

$$R_{\rm cc}(D_{\rm max}, q_1, Q_1; q_2, Q_2) = M_{\rm tot}(q_2, Q_2)\bar{R}_{\rm cc}(D_{\rm max}, q_1, Q_1; q_2, Q_2).$$
(27)

For the two-dimensional approximation, the normalized collision rate is

$$\bar{R}_{cc}(D_{\max}, q_1, Q_1; q_2, Q_2) = K \sum_{r} \bar{\sigma}(r)(q_1, Q_1) \times \bar{\sigma}_{v}(r)(q_2, Q_2) \left\langle v_{\text{rel}[1,2]}^{8/3} \right\rangle(r), \quad (28)$$

where  $\langle v_{\text{rel}[1,2]}^{8/3} \rangle$  (r) is the mean of the relative velocities to the 8/3 power between planetesimals in the different cells at that radius (which can e.g. be worked out using the Monte Carlo method of Section 2.4).

The computation of  $\bar{R}_{cc}(D_{max}, q_1, Q_1; q_2, Q_2)$  can be made more efficient noting that  $\bar{R}_{cc}(D_{max}, q_1, Q_1; q_2, Q_2) = \bar{R}_{cc}(D_{max}, q_2, Q_2; q_1, Q_1)$  and that some combinations of  $q_1, Q_1, q_2, Q_2$  are either unphysical or non-overlapping, as well as by only including in the Monte Carlo calculation planetesimals where the orbits from the two cells overlap. A similar simplification to that used to derive equations (16) and (17) can also be employed so that the collision rate is given by

$$\bar{R}_{cc}(D_{\text{max}}, q_1, Q_1; q_2, Q_2) = (K/2.1 \times 10^{-14}) M_{\star}^{4/3} q_1^{-13/3} \times I_{\text{max}}^{-1} T(e_1, e_2, q_2/q_1).$$
(29)

Here on we plot the function  $T(e_1, e_2, q_2/q_1)$  defined in this way rather than  $\bar{R}_{cc}$ , noting that the situation described in Section 2.4 corresponds to T(e, e, 1) which must therefore be equal to  $1.43 \times 10^{-3}(1-e)^{13/3}S_{2D}(e)$ .

#### 3.2 Comparison with previous collision rate calculations

The collision rates described in Section 3.1 use the two-dimensional approximation, but can be readily modified for a three-dimensional calculation in a manner similar to Section 2.4.6. It is also possible to modify the assumptions to choose an inclination distribution that spans a narrow range of  $I_{\min}$  to  $I_{\max}$ . In this case, the collision rates we derive should be comparable with those of other authors who consider interactions between planetesimals on two orbits, each of which is defined by a semimajor axis, eccentricity and inclination (with other angles assumed to be randomly distributed).

To carry out such a comparison, we also need to modify the calculation to derive the more typically quoted quantity of the intrinsic collision probability,  $P_i$ , which is the probability of impact per unit time divided by  $\tau^2$  where  $\tau = (D + D_{\rm im})/2$  (Wetherill 1967). Our method included the  $\tau^2$  factor from the outset (see equation 2) and integrated the collision rate over the size distribution capable of causing catastrophic impacts, whereas this was accounted for at a later stage by other methods. Using our notation, the intrinsic probability in  $10^{-18}$  km<sup>-2</sup> yr<sup>-1</sup> is given by

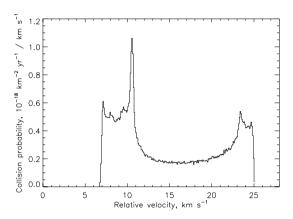
$$P_{i} = 0.030 \sum_{\mathbf{r}, \phi} \bar{\sigma}(r, \phi)(q_{1}, Q_{1}) \bar{\sigma}_{v}(r, \phi)(q_{2}, Q_{2}) \langle v_{\text{rel}[1,2]} \rangle(r, \phi), \tag{30}$$

where the constant includes both a conversion between units and an extra factor  $\pi$  (since our calculation considers a cross-sectional area rather than  $\tau^2$ ). In Table 1, we compare the intrinsic collision probabilities we find using the Monte Carlo approach with 200 000 planetesimals in each population with those of Dell'Oro & Paolicchi (1998) and Bottke & Greenberg (1993) showing excellent agreement between the methods.

As noted by Bottke et al. (1994) (their point I.2), to utilize intrinsic collision probabilities requires knowledge of the velocity probability distribution and it is not sufficient to assume a single mean relative velocity of collisions. This is automatically included in our method, since we keep track of the velocities encountered by a planetesimal at each location and these are used to work out the amount of the cross-sectional area of impactors at that location that are able to cause a catastrophic collision. To illustrate that our Monte Carlo method computes an accurate velocity probability distribution, here we reproduce fig. 1 of Bottke et al. (1994) (see also fig. 1 of Dell'Oro & Paolicchi 1998) in our Fig. 4.

**Table 1.** Intrinsic collision probabilities in  $10^{-18} \, \mathrm{km^{-2} \, yr^{-1}}$  between the Astrid and objects from table II of Dell'Oro & Paolicchi (1998) for comparison of our results with those of that paper and with those of Bottke & Greenberg (1993).

D.C02	DDOO	
BG93	DP98	This paper
3.16	3.19	3.17
3.58	3.58	3.58
4.51	4.52	4.35
3.69	3.76	3.76
3.36	3.42	3.43
0.93	0.94	0.94
0.022	0.022	0.022
0.62	0.62	0.60
0.94	0.93	0.93
1.13	1.15	1.15
0.041	0.041	0.041
	3.58 4.51 3.69 3.36 0.93 0.022 0.62 0.94 1.13	3.16 3.19 3.58 3.58 4.51 4.52 3.69 3.76 3.36 3.42 0.93 0.94 0.022 0.022 0.62 0.62 0.94 0.93 1.13 1.15



**Figure 4.** Contribution of different relative velocities to the intrinsic collision probability for two planetesimals, one with orbital elements a = 3.42 au, e = 0.578, I = 0.435 rad and the other with a = 1.59 au, e = 0.056, I = 0.466 rad for comparison with fig. 1 of Bottke et al. (1994) and fig. 1 of Dell'Oro & Paolicchi (1998).

Similarly, we find that we can reproduce figs 5 and 6 of Krivov et al. (2005) (not shown here) noting that their  $\Delta$  and  $\bar{v}_{imp}$  are given by

$$\Delta(a_1, e_1, a_2, e_2) = \sum_{\mathbf{r}, \phi} \bar{\sigma}(r, \phi)(q_1, Q_1) \bar{\sigma}_{\mathbf{v}}(r, \phi)(q_2, Q_2), \tag{31}$$

$$\bar{v}_{imp} = \sum_{r,\phi} \bar{\sigma}(r,\phi)(q_1, Q_1)\bar{\sigma}_v(r,\phi)(q_2, Q_2) \\ \times \langle v_{rel[1,2]} \rangle(r,\phi) / \Delta(a_1, e_1, a_2, e_2),$$
(32)

in our notation, although we should again point out that such a mean collision velocity must be used with care as a range of velocities contribute to the collision rate (see Fig. 4).

In summary we conclude that, for the same assumptions, our method for calculating collision rates produces results that are in agreement with the most accurate methods available in the literature and that although this method was derived with the intention of studying high eccentricity orbits, it is also applicable regardless of the magnitude of the eccentricity.

#### 3.3 Implementation of evolution

The factor  $\bar{R}_{cc}(D_{max}, q_1, Q_1; q_2, Q_2)$  (equation 28) depends only on the way in which the parameter space is divided up. Thus, an

approach was implemented in which this factor was worked out ahead of time and then used to work out the catastrophic collision rate for the largest planetesimals in cell (q, Q) from planetesimals in all other cells:

$$R_{\rm cc}(D_{\rm max}, q, Q) = \sum_{q_2} \sum_{Q_2} M_{\rm tot}(q_2, Q_2) \bar{R}_{\rm cc}(D_{\rm max}, q, Q; q_2, Q_2). \tag{33}$$

Using the assumptions about the evolution of the size distribution described in Section 2.2, this collision rate can then be used to work out the mass which would be removed in a time-step dt, and the mass distribution stepped forwards in time:

$$M_{\text{tot}}(q, Q, t + dt) = M_{\text{tot}}(q, Q, t)[1 - dt * R_{\text{cc}}(D_{\text{max}}, q, Q)].$$
 (34)

The time-step dt is chosen so that some fraction, say 0.1 per cent, of the mass is removed from the most rapidly evolving cell in that time-step, i.e.

$$dt = 10^{-3} / \max[R_{cc}(D_{max}, q, Q)].$$
(35)

#### 3.4 Application to scattered disc-like distribution

One of the simplest examples of this evolution is its application to a scattered disc-like distribution wherein planetesimals have a common pericentre distance, q, but a range of apocentre distances. Such a distribution might arise from planetesimals scattered by a planet on a circular orbit at a radius just inside q.

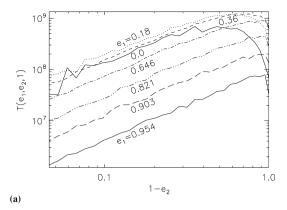
Fig. 5(a) shows the function  $T(e_1, e_2, 1)$  for a population with pericentres in the range  $(1 \pm 0.1)q_1$  and apocentres in 30 logarithmically spaced bins in the range  $(1-50)q_1$ . This illustrates how for most planetesimals in the population, their collision rate per unit mass of the colliding population is highest for collisions with the lowest eccentricity population. This is because the mass of a lower eccentricity colliding population is concentrated in a smaller volume resulting in higher densities and so higher collision rates. However, for the low eccentricity population it is noticeable that  $T(0, e_2, 1)$  peaks at eccentricities of around 0.5 and that the collision rate per unit mass of the colliding population is lower with planetesimals on circular orbits. This is because the higher density of the low eccentricity colliders is offset by the lower relative velocities. The reduction in relative velocities as eccentricity is decreased was already noted in Fig. 2(b), and its impact on collision rates is evident in Fig. 3(b).

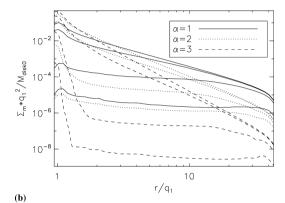
To illustrate how such a population evolves through mutual collisions, we assume that the mass in orbits in the range of Q to Q+dQ starts off  $\propto Q^{-\alpha}dQ$  with a total mass of  $M_{\rm disc0}$  distributed among apocentres in the range Q/q=1–50. This assumption results in an initial distribution of mass surface density that falls off approximately as  $\Sigma_{\rm m} \propto r^{-\alpha-1}$ , because most of the mass is concentrated at apocentre. Fig. 5(b) shows the evolution of mass surface density for  $\alpha=1,2,3$ , where equation (29) was used to normalize both radius and mass surface density, meaning that the times plotted correspond to real times of

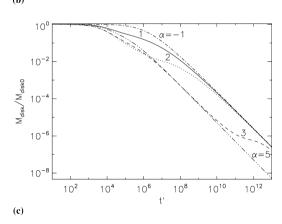
$$t = (2.1 \times 10^{-14} / K) M_{\star}^{-4/3} (I_{\text{max}} / 0.05) q_1^{13/3} M_{\text{disc}}^{-1} t' \text{ yr}$$
 (36)

where  $M_{\rm disc}$  is in  $M_{\oplus}$  and  $q_1$  in au.

The  $\alpha=1$  evolution shows how the distribution tends to a flat distribution in mass surface density. This is because the low eccentricity population is rapidly depleted, with mass becoming ever more concentrated in larger apocentre population that evolves relatively slowly; note from Fig. 2(a) that a high eccentricity population







**Figure 5.** Collisional evolution of a scattered disc-like distribution. (a) The function  $T(e_1, e_2, 1)$  that through equation (29) defines the rate of collisions for a planetesimal in population 1 interacting with planetesimals in population 2. (b) Evolution of the mass surface density distribution assuming an initial distribution of apocentres  $n(Q) \propto Q^{-\alpha}$ , where  $\alpha = 1, 2, 3$  is shown with solid, dotted and dashed lines, respectively. The resulting evolution scales with  $q_1$  and  $M_{\rm disc0}$  as per the axis labels, and four lines are shown for each initial apocentre distribution, at times of t' = 0,  $10^4$ ,  $10^6$ ,  $10^8$ , where real time in years is related to t' through equation (36). (c) Evolution of the total disc mass for the distributions plotted in (b), including also  $\alpha = -1$  and 5.

would have a fairly flat mass surface density distribution. The  $\alpha=3$  evolution differs from that of  $\alpha=1$  in that a bimodal population is formed with a low eccentricity population causing the peak in surface density at low r and a high eccentricity population causing the flat surface density distribution. Because the mass starts off concentrated in the low eccentricity population, its evolution is unaffected by the material with large apocentres, and so it evolves due to mutual collisions. However, populations with higher eccentricities are

rapidly depleted in collisions with the low eccentricity population, with the highest eccentricities persisting the longest. Eventually, the low eccentricity population is depleted in mutual collisions so that the mass is concentrated in the highest eccentricities even for  $\alpha > 3$ .

The evolution of the total disc mass is shown in Fig. 5(c). From this, it can be seen that the evolution is slower for shallower apocentre distributions. All distributions also tend to a mass evolution that falls off  $\propto 1/t$ , meaning that the amount of mass remaining at late times is independent of both initial mass and  $\alpha$ . The amount of the remaining mass lies between two values as follows. If the mass is in the lowest eccentricities (steep distributions at young ages), then that mass is given by that expected from a low eccentricity population at r=q. However, if the mass is in the highest eccentricities (all distributions at late ages), then that mass is given by the mass expected for the highest eccentricities in the distribution; since  $e_{\max}=0.96$  in this simulation because  $Q/q \leq 50$ , this figure is in agreement with the expectations of Figs 3(b) and 5(a). Typically, the evolution switches from having the mass in the lowest eccentricity population to the highest eccentricity population.

Application of this can be readily seen for the case of the scattered disc in the Kuiper belt. For a pericentre at 30 au and an initial mass of  $0.1\,M_{\oplus}$ , we see that the evolutionary time-scale is very long, since real time is  $25 \times 10^6 t'$  (for  $K = 2.1 \times 10^{-14}$  and  $I_{\text{max}} = 0.05$ ), so that 4.5 Gyr corresponds to t' = 200, meaning that collisional mass loss would be expected to be very small over the age of the Solar system. regardless of  $\alpha$ . Had the scattered disc had 100 times more mass in the epoch prior to the Late Heavy Bombardment, real time would be  $0.25 \times 10^6 t'$ , so that 800 Myr corresponds to t' = 3200, and again we would not expect collisional evolution to be strong. However, it must also be noted that we have assumed here that planetesimals remain in their (q, Q) cell and are only removed by collisions. In the scattered disc dynamical processes, such as scattering by Neptune, can occur on time-scales that are shorter than collisional mass loss, and so must be taken into account (e.g. Volk & Malhotra 2008), although the 2003 EL<sub>61</sub> collisional family in the Kuiper belt may provide evidence of the role of collisions in the evolution of the scattered disc (Levison et al. 2008).

Another application would be to a putative scattered disc around HD 69830. For a pericentre at 1 au, just outside the outermost (known) planet (Lovis et al. 2006), we see that for an initial mass of 1  $\rm M_{\oplus}$  the real time is the same as t' (for  $K=2.1\times 10^{-14}$  and  $I_{\rm max}=0.05$ ), and so after  $\sim\!2$  Gyr of evolution the maximum mass that can remain is independent of both initial mass and  $\alpha$ , although in accordance with the earlier discussion it does depend on the maximum eccentricity in the distribution. Thus we find that  $10^{-3}$   $\rm M_{\oplus}$  can remain at 2 Gyr if apocentres extend out to 50 au, with more mass remaining should higher apocentres be present (or lower values of K be applicable). It is worth noting from Fig. 5(b), however, that although we have increased the mass that passes through 1 au, this does not necessarily increase the mass surface density at 1 au.

# 4 EMISSION PROPERTIES OF ECCENTRIC PLANETESIMAL SWARMS

It was shown in Sections 2 and 3 how increasing planetesimal eccentricity leads to longer collisional lifetimes and higher disc masses at late times in spite of collisional processing. Here we consider how the emission spectrum of a planetesimal swarm changes as its eccentricity is increased and how such swarms might appear in observations of dust around nearby stars.

#### 4.1 Emission spectrum

The emission spectrum from planetesimal belts comprised of dust with absorption (and emission) efficiencies  $Q_{abs}(\lambda, D)$  can be calculated using

$$F_{\nu} = 2.35 \times 10^{-11} d^{-2} \sigma_{\text{tot}} \sum_{r} \bar{\sigma}(r) \int_{D_{\text{min}}}^{D_{\text{max}}} Q_{\text{abs}}(\lambda, D)$$
$$\times B_{\nu}(\lambda, T(D, r)) \bar{\sigma}(D) dD, \tag{37}$$

$$T(D,r) = \left[ \langle Q_{abs}(D,\lambda) \rangle_{T_{\bullet}} / \langle Q_{abs}(D,\lambda) \rangle_{T(D,r)} \right]^{1/4} T_{bb}(r), \tag{38}$$

$$T_{\rm bb}(r) = 278.3L_{\perp}^{0.25}r^{-0.5},\tag{39}$$

where  $F_{\nu}$  is in Jy, d is the distance in pc,  $\sigma_{\rm tot}$  is in au<sup>2</sup> and scales with total mass according to equation (8) for the assumptions of this paper about the size distribution,  $\bar{\sigma}(D) dD$  is the fraction of the total cross-sectional area in the size range of D to D+dD and is  $\propto D^{-1.5} dD$  for the distribution assumed here,  $D_{\rm min}$  is the minimum size in the distribution that is commonly assumed to be the size at which dust is blown out of the system by radiation pressure,  $D_{\rm max}$  is the size of the largest object, although for computational purposes this can be set at  $\sim 1$  m as larger objects contribute little to the emission spectrum (e.g. fig. 5 of Wyatt & Dent 2002),  $B_{\nu}$  is in Jy sr<sup>-1</sup>,  $\langle Q_{\rm abs} \rangle_T$  means  $Q_{\rm abs}$  averaged over a blackbody spectrum of temperature T and  $L_{\star}$  is in  $L_{\odot}$ .

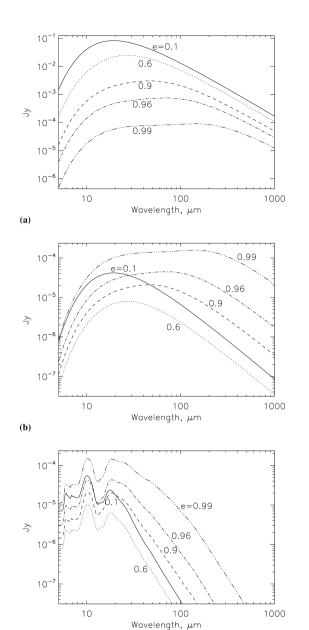
Later in the paper, we will use emission efficiencies calculated using Mie theory (or another suitable approximation) along with the optical constants of different materials combined using a mixing theory in the manner described elsewhere (Li & Greenberg 1998; Wyatt & Dent 2002). The grain model we will use assumes a coremantle composed of silicates and organic refractory material, in the ratio  $q_{\rm Si}$ , which is incorporated into the grain with a porosity p; some fraction  $q_{\rm H_2O}$  of the vacuum is filled with water ice. However, for heuristic purposes this section starts by assuming that dust created in the planetesimal belt both absorbs and emits light like a blackbody so that  $Q_{\rm abs}=1$ .

For the disc comprised of planetesimals with common pericentre and apocentre distances discussed in Section 2, Fig. 6(a) shows the emission spectrum for a range of eccentricities for a star with  $L_{\star}=1\,\mathrm{L}_{\odot},\ d=10\,\mathrm{pc},$  and for a planetesimal belt with  $q=1\,\mathrm{au}$  and  $\sigma_{\mathrm{tot}}=10^{-3}\,\mathrm{au}^2.$  Although the absolute level of emission and range of wavelengths are dependent on these stellar and planetesimal belt properties, the shape of the emission spectrum would not be (e.g. wavelengths would scale  $\propto L_{\star}^{-0.25}\,q^{0.5}$ ). This shows that increasing eccentricity leads both to emission over a wider range of wavelengths, due to the larger range of radii and so temperatures in the disc, and to a decrease in the level of emission for a given amount of the cross-sectional area.

To work out the emission spectra for these discs at late times, equation (24) was used to get the mass and equation (8) to get the cross-sectional area (see Fig. 6b). The minimum size in the distribution was assumed to be the size at which dust is blown out by radiation pressure. Because the majority of collisions occur at pericentre (see Fig. 2c), the blow-out size is that for which radiation pressure  $\beta = 0.5(1 - e)$ , so that for blackbody grains

$$D_{\rm bl} = 0.8(2700/\rho)(L_{\star}/M_{\star})/(1-e),\tag{40}$$

in  $\mu$ m. The increase in the blow-out size for high eccentricities is significant since it truncates the collisional cascade, thus removing much of its cross-sectional area. Fig. 6(b) shows that the effect of increasing eccentricity of a planetesimal belt above 0.1 while



**Figure 6.** Emission spectra of discs comprised of material all with the same pericentres, but with eccentricities of 0.1, 0.6, 0.9, 0.96, 0.99 shown with different linestyles. (a) Spectra for  $10^{-3}$  au<sup>2</sup> of material with blackbody emission properties and with pericentres at 1 au around a 1 L<sub>☉</sub> star at 10 pc. (b) As for (a), but the total cross-sectional area has been scaled to the maximum mass that can remain after 1 Gyr of processing (assuming  $K = 2.1 \times 10^{-14}$  and  $I_{\rm max} = 0.05$ ), also taking into account the change in blow-out radius as eccentricity increases. (c) As for (b), but assuming that the cross-sectional area is in 1 μm grains of a mixture of silicate–organic refractory material.

(c)

keeping its pericentre distance constant is first to reduce the amount of emission present at late times at all wavelengths (compare the e = 0.1 and e = 0.6 lines). However, for high enough eccentricities the emission is increased above the e = 0.1 values, with the transition occurring at eccentricities of  $\sim 0.99$  for short-wavelength emission and  $\sim 0.7$  for long-wavelength emission.

Although the quantitative conclusions about the absolute level of emission would be different if realistic particles had been assumed, these qualitative conclusions about how the emission spectrum changes as eccentricity is changed would not, since a change in particle properties would affect the emission from all discs in a similar manner. To illustrate this, Fig. 6(c) shows the emission spectra assuming that the total cross-sectional area is all in 1  $\mu$ m particles of silicate—organic refractory material (noting that this is not meant to be a physical model). The emission efficiencies of such particles drop rapidly at longer wavelengths (apart from close to the 10 and 18  $\mu$ m silicate features) dramatically reducing the far-infrared emission, even though the qualitative comparison of the behaviour as eccentricity is changed is unaffected (i.e. the eccentricity required for an increase in short- or long-wavelength emission is the same).

#### 4.2 Consequences of increased remaining mass

While increasing the eccentricity does not have the effect of increasing the warm disc emission, it does increase the remaining mass, and that has two important implications.

#### 4.2.1 Blow-out population

The first is that the collisional cascade is losing mass through radiation pressure blow-out at a rate  $M_{\rm tot}/t_{\rm cc}(D_{\rm max})$ , which is  $M_{\rm late}/t_{\rm age}$  once the largest objects reach collisional equilibrium. This means that a higher remaining mass means a higher mass loss rate. Typically, the short lifetime of blow-out grains means that they contribute little to the total cross-sectional area present in the disc. However, for an eccentric ring in which the majority of the mass loss occurs at pericentre, the surface density of the blow-out grains can exceed that of the collisional cascade.

The following arguments give an estimate of the surface brightness of these grains. To simplify this calculation, it is assumed that all collisions occur at pericentre where dust of size  $D_{\rm loss}$  (in  $\mu$ m) is released at the orbital velocity of  $v_{\rm loss}=2\pi\sqrt{M_{\star}(1+e)/q}$  au yr $^{-1}$ . Further assuming that this dust has  $\beta=1$  means that the dust undergoes no acceleration so that

$$\dot{r}_{\rm loss} = v_{\rm loss} \sqrt{1 - (q/r)^2}. (41)$$

The mass loss rate, when converted to a rate of loss of the cross-sectional area as dust of size  $D_{loss}$  and divided by the cross-sectional area present in the collisional cascade, gives

$$\dot{\sigma}_{\text{loss}}/\sigma_{\text{totcc}} = 3.16 \times 10^4 D_{\text{bl}}^{0.5} D_{\text{max}}^{0.5} D_{\text{loss}}^{-1} t_{\text{age}}^{-1},$$
 (42)

which results in a distribution of the cross-sectional area for r > q of

$$d\sigma_{\text{loss}}/\sigma_{\text{totcc}}/d\bar{r} = 4500L_{\star}^{0.5}M_{\star}^{-1}D_{\text{max}}^{0.5}D_{\text{loss}}^{-1}t_{\text{age}}^{-1}q^{1.5}$$

$$\times (1+e)^{-0.5}(1-e)^{-1.5}[1-(q/r)^{2}]^{-0.5}. \tag{43}$$

This can be compared with the distribution of the cross-sectional area in the collisional cascade (Fig. 2a), which the analytical results (equation 18) show has a minimum at  $\bar{r}=1-e^2$  of  $\bar{\sigma}/\mathrm{d}\bar{r}=\pi^{-1}\sqrt{e^{-2}-1}$ . Thus the blow-out population becomes more important relative to the collisional cascade as eccentricity increases, and is also more dominant in populations with larger pericentre distances. Specifically, the cross-sectional area in the blow-out population can be higher than that of the collisional cascade at some radius when

$$e > 1 - 160L_{\star}^{0.25}M_{\star}^{-0.5}q^{0.75}D_{\text{max}}^{0.25}D_{\text{loss}}^{-0.5}t_{\text{age}}^{-0.5}$$
 (44)

for these assumptions. For the  $M_{\star} = L_{\star} = q = 1$  system at 1 Gyr considered earlier, further assuming that  $D_{\rm max} = 2000$  km and  $D_{\rm loss} = 1$   $\mu$ m, this means that the blow-out population becomes important when e > 0.97, noting that  $D_{\rm loss} \ll D_{\rm bl} = 30$   $\mu$ m in this example.

#### 4.2.2 Cometary sublimation

The conclusions of Section 4.2.1 are independent of the mechanism producing the blow-out grains, which need not be through collisions. Rather the large remaining mass might provide a reservoir that, through collisions, replenishes smaller planetesimals that release dust through comet-like sublimation. In such a case, the above calculation may overestimate the amount of the cross-sectional area in the collisional cascade meaning that the cascade could be extremely faint, because sublimation time-scales (which are  $\propto D$  if the mass loss rate is proportional to the surface area) can be shorter than collision time-scales (which from equation 4 are  $\propto D^{0.5}$ ) for the small particles in the cascade, thus reducing their number so that  $D_{\min}$  should be significantly higher than  $D_{\text{bl}}$  in equation (8). However, this would only be the case if sublimation processes just produce non-sublimating (e.g. silicate) grains that are below the blow-out limit. Large non-sublimating grains produced above the blow-out limit would increase the cross-sectional area in the collisional cascade. Since Solar system comets are seen to release significant quantities of non-icy mm-cm-sized grains (e.g. Reach et al. 2009), we consider that further work is required before the impact of sublimation on the steady-state size distribution of a collisional cascade is fully understood, but note that sublimation remains a viable mechanism for feeding the blow-out population discussed in Section 4.2.1.

#### 4.2.3 Frequency of massive collisions

To estimate the frequency of massive collisions for a system evolving in collisional equilibrium, for which the collisional lifetime of the largest objects is the age of the system, we rewrite equation (24) of Wyatt et al. (2007a) to find that the collision rate for objects larger than size  $D_{\rm pb}$  is given by

$$R_{\rm cc}(D > D_{\rm pb}) = (M_{\rm late}/6M_{\rm pb})t_{\rm age}^{-1},$$
 (45)

where a distribution with q=11/6 was assumed. This means that, for a given parent body size, a higher eccentricity ring results in a higher remaining mass and so more frequent collisions.

However, a higher eccentricity also means that larger parent bodies are required to reproduce the same fractional luminosity. To estimate the minimum mass of a parent body,  $D_{\rm pb}$ , that would be required to be destroyed to reproduce an observed fractional luminosity of  $f_{\rm obs}$ , it is assumed (optimistically) that a collision turns all of an object's mass into particles of size  $D_{\rm bl}$  which are then redistributed around a ring with the same eccentricity as the parent object (i.e. ignoring the increase in eccentricity due to radiation pressure). The fractional luminosity from an eccentric ring can be calculated by integrating equation (18) to be

$$f_{\text{obs}} = \sigma_{\text{tot}} / \left( 4\pi q^2 (1 - e)^{-2} \sqrt{1 - e^2} \right).$$
 (46)

Thus to reproduce  $f_{obs}$  requires a parent body mass

$$M_{\rm pb} = 3.14 \times 10^{-8} \rho D_{\rm bl} f_{\rm obs} q^2 (1 - e)^{-2} (1 - e^2)^{0.5}$$
 (47)

in  $M_{\oplus}$ , noting that  $D_{bl}$  is also a function of eccentricity. This readily gives the maximum rate of collisions that could reproduce a given fractional luminosity in a single event; e.g. if a mass of  $1 M_{\oplus}$  remains at 1 Gyr in a belt with a pericentre of 1 au and eccentricity of 0.99, then events that might potentially produce  $f_{obs} = 10^{-3}$  in 1  $\mu$ m dust occur 1.4 times per Myr.

On the other hand, a higher eccentricity means that the dust is not depleted in collisions so rapidly. The collision rate of same sized

particles can be worked out using equation (4) with  $f_{\rm cc}(D)=4$  (Wyatt et al. 1999). Using the analytical approximation of Section 2.4.2, we find that

$$R_{cc}(D_{\rm bl}) = 1.3\sigma_{\rm tot}M_{\star}^{0.5}q^{-3.5}(1-e)^{3.5}(1-e^2)^{-0.5}I_{\rm max}^{-1},\tag{48}$$

which is true regardless of the particle size (as long as such particles contain the majority of  $\sigma_{\rm tot}$ ) and gives a collision rate to reproduce  $f_{\rm obs}$  of  $16 f_{\rm obs} M_{\rm obs}^{0.5} I_{\rm max}^{-1.5}$ .

Combining these results in the same manner as Wyatt et al. (2007a), to estimate the fraction of time that collisions are expected to result in dust above a given level of  $f_{\rm obs}$ , we find that

$$P(f > f_{\text{obs}}) = 0.33 \times 10^{6} M_{\text{late}} t_{\text{age}}^{-1} f_{\text{obs}}^{-2} M_{\star}^{-0.5} I_{\text{max}}$$
$$\times \rho^{-1} D_{\text{bl}}^{-1} q^{-0.5} (1 + e)^{-0.5}. \tag{49}$$

For example, if a mass of 1  $M_{\oplus}$  remains at 1 Gyr in a belt with a pericentre of 1 au and eccentricity of 0.99, then events that might potentially produce  $f_{\rm obs}=10^{-3}$  in 1  $\mu m$  dust of a density of 2700 kg m<sup>-3</sup> with inclinations of up to 0.05 would occur 0.4 per cent of the time. This fraction is insensitive to eccentricity except that a high value is required to permit 1  $M_{\oplus}$  of material to remain so late despite collisional processing, and would also affect the validity of assuming that the dust is 1  $\mu m$  in size.

#### 4.3 Application to $\eta$ Corvi

The 1.3 Gyr F2V star  $\eta$  Corvi at 18.2 pc exhibits excess emission from circumstellar dust at wavelengths from a few µm up to submm. The sub-mm emission has been resolved in imaging at both 450 and 850 µm with Submillimetre Common-User Bolometer Array (SCUBA), and modelling shows that this data set can be explained by emission from a ring of 150  $\pm$  20 au radius inclined at 45 $^{\circ}$   $\pm$ 25° to our line of sight (Wyatt et al. 2005). The region is seen to be centrally cleared, but the excess mid-infrared emission cannot originate in the 150 au ring and has been resolved to lie somewhere in the 0.16-3.0 au region (Smith et al. 2008; Smith, Wyatt & Haniff 2009b) with a temperature inferred from the emission spectrum that places it at  $\sim$ 1.7 au. It remains debatable whether there is any emission in the 3-100 au region (Chen et al. 2006; Smith et al. 2008). Regardless the warm emission at <3 au is expected to be transient if confined to a ring at that location (Wyatt et al. 2007a), as discussed in Section 1.

Here we consider the possibility that both the imaging and spectroscopic constraints can be explained by a model with a single planetesimal population. Fig. 7 shows the emission spectrum of a model with planetesimals of a density of 1480 kg m<sup>-3</sup> with pericentres at 0.75 au, apocentres at 150 au (i.e. eccentricity of 0.99) and inclinations of up to 0.05, after 1.3 Gyr of evolution. The planetesimal collisional parameters are assumed to be  $D_{\text{max}} = 2000 \,\text{km}$ with strength  $Q_{\rm D}^{\star} = 3 \times 10^5 \, \rm J \, kg^{-1}$ , leading to the conclusion that  $5 M_{\oplus}$  of material remains at the current epoch. Dust is created in the collisional cascade down to a size of  $D_{\rm bl} = 480 \, \mu \rm m$  before removal by radiation pressure, and the emission properties of the grains were calculated assuming a core-mantle composed of 30 per cent amorphous silicate and 70 per cent organic refractory material with a porosity of 0.4 and water ice filling 20 per cent of the gaps; the emission spectrum of the collisional cascade is shown with a dashed line, and is largely insensitive to the assumed composition as bound grains act like black bodies. The population of grains that are being removed by radiation pressure is assumed to be all of 5 µm in diameter, and their emission properties were calculated assuming the same grain properties as the collisional cascade; their emission spectrum is shown with a dotted line.

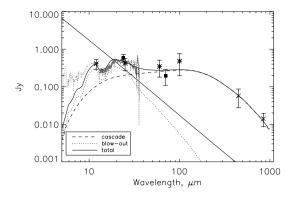


Figure 7. Emission spectrum of  $\eta$  Corvi modelled using a single planetesimal population with pericentres at 0.75 au and apocentres at 150 au (see text for details). The dashed, dotted and solid lines correspond to the contribution from the collisional cascade, blow-out grains and the total emission spectrum, respectively. The diagonal solid line is the stellar spectrum, the grey dotted line is the *Spitzer*'s Infrared Spectrograph (IRS) spectrum after the photospheric contribution has been subtracted, and asterisks and squares are the *IRAS* and *Spitzer* photometric fluxes, respectively, after photosphere subtraction (and colour correction where necessary).

The model provides an excellent fit to the observed shape of the emission spectrum, noting that it is not our intention to provide an exact fit to the emission features for which a more detailed compositional model would be required; that is, the composition should not be regarded to be constrained in this model, but in providing a fit to the spectrum it does allow the physical parameters of the model to be self-consistently derived. In the model, the mid-infrared emission is dominated by grains being removed by radiation pressure, which contribute 91 and 84 per cent of the 11.6 and 18.7 µm emissions, respectively. Such emission is centrally concentrated (e.g. 68 and 51 per cent of the emission in these wavebands come from inside 3.5 au). To assess whether the model is consistent with available mid-infrared imaging constraints, we first show that the model fluxes in an aperture of a radius of 0.5 arcsec (285 and 263 mJy at these wavebands) are consistent with those observed (330  $\pm$  184 and 309  $\pm$  79 mJy; Smith et al. 2008). In the model, the aperture used to estimate the background level, 0.5-1.0 arcsec, does include disc emission (28 and 60 mJy in these wavebands), but at a level consistent with its non-detection in Smith et al. (2008) for which > 150and >140 mJy would have been necessary for a >3 $\sigma$  detection. To consider whether there is an aperture which could confidently detect the mid-infrared emission from such a model, we plotted the model fluxes in fig. 7 of Smith et al. (2008), noting that the central concentration of the mid-infrared emission means that we only need to consider the emission close to the pericentre. Thus, we approximated the mid-infrared emission from the model as originating in two consecutive annuli, each with a uniform surface brightness and spanning radii of  $3 \pm 3$  and  $9 \pm 3$  au; from the model, we find that the inner annulus contains 262 and 239 mJy and the outer annulus contains 27 and 53 mJy at these wavebands. Both annuli are below the threshold at which extended emission should have been detected, consistent with the observations, but the outer annulus is at a level where extension would be detected in observations twice as deep at both wavebands. The lack of material <0.75 au is consistent with visibilities measured by the Mid-Infrared Interferometric Instrument (MIDI) for the Very Large Telescope Interferometer (VLTI) that suggest that the emission is completely resolved, although the model would predict small changes in visibility with baseline for a 40 mas ring that might be detectable in more sensitive observations (see fig. 9 of Smith et al. 2009b). The low emission efficiencies of the blow-out population mean that it contributes little to the longer wavelength fluxes, and the sub-mm emission is dominated by the collisional cascade. The majority of the sub-mm emission come from material near apocentre (92 per cent of the 450  $\mu m$  emission come from 100–150 au) and so we expect the model to fit the sub-mm imaging constraints (Wyatt et al. 2005).

Although we have devised a model that explains the observations, this does not necessarily make it plausible. Nevertheless, most of the model parameters are reasonable. The planetesimal strength required is above that assumed for the population models of Wyatt et al. (2007b), but is within the range of that expected for 2000 km planetesimals (Benz & Asphaug 1999). The assumption that blowout particles are 100 times smaller than the blow-out limit could indicate that larger unbound particles readily disintegrate into smaller fragments (noting that they are produced at collisional velocities of up to  $80~{\rm km~s^{-1}}$ ), but may perhaps be circumvented with a different choice of composition. It is also worth noting that this model predicts that we have a 1:2300 chance of witnessing a collision capable of reproducing the observed fractional luminosity of  $0.5\times10^{-3}$ ; that is, it is possible that the mid-infrared emission is enhanced due to stochastic collisions.

The origin of the planetesimals is, however, a concern. High eccentricity orbits normally imply scattering by a planet, with the apocentre or pericentre coinciding with the orbit of the planet. It is unclear why both pericentre and apocentre distances would be fixed here. One possibility is that a primordial population that included a wider range of eccentricities and semimajor axes has been depleted by collisional processing leaving just the high eccentricity remnant (see Section 3.4). The primordial population could then be an extended scattered disc created by inward planet migration (Payne et al. 2009), with a planet lying just interior to the pericentre, and the apocentre corresponding to the highest eccentricity attained in the population. In this case, the current mass is a small fraction of the primordial mass; for example for  $n(Q) \propto Q^{-\alpha}$  where  $\alpha = 1$  or 2 we find the primordial mass to be 7 or 200 times (respectively) the  $5 M_{\oplus}$  inferred at present (assuming the observed apocentres are in the range of 90-210 au), and so an initially flat apocentre distribution is required for a realistic starting population. Alternatively this population could have been scattered in by a more distant planet which was orbiting close to the apocentre, although in this scenario the lack of material with pericentres in the 3–100 au range is a problem, as collisional processing preferentially removes material with the lowest pericentres in a distribution with a common apocentre.<sup>2</sup> Certainly, the dynamics of creating populations of extremely high eccentricity must be explored before we can be confident that this is a viable model. It is also important that the dynamics that creates the high planetesimal eccentricities, such as scattering by a planet, does not significantly deplete the planetesimal population on longer time-scales. This could be achieved if the planetesimals become detached from the planet, perhaps because that planet migrates or is scattered to put it out of reach of the planetesimals.

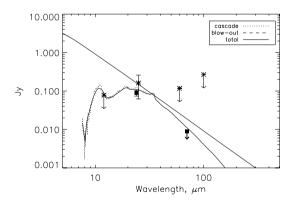
Regardless of the origin of the planetesimals, it seems that the 0.75–150 au region would have to be clear of planets for this model to be viable. Current limits from radial velocity studies only rule out companions down to 2.1 Jupiter mass out to 0.48 au (Lagrange et al. 2009). As noted above, another testable prediction of the model is that there should be thermal emission from the 3–100 au region which may be detectable as extended emission.

<sup>2</sup>Collision rates for material with common apocentres,  $Q_1$ , would be given by equation (29) replacing  $q_1^{-13/3}$  with  $Q_1^{-13/3}$  [ $(1+e_1)/(1-e_1)$ ]<sup>13/3</sup>.

#### 4.4 Application to HD 69830

The 2 Gyr K0V star HD 69830 at 12.6 pc exhibits excess emission from circumstellar dust at mid-infrared wavelengths, including significant emission features (Beichman et al. 2005). No excess emission is seen at 70  $\mu m$  (Trilling et al. 2008) or at 850  $\mu m$  (Sheret, Dent & Wyatt 2004; Matthews, Kalas & Wyatt 2007). Three Neptune-mass planets are known within 0.7 au (Lovis et al. 2006) that are thought to have migrated in from 3–8 au (Alibert et al. 2006). Mid-infrared studies constrain the dust to 0.05–2.4 au (Smith et al. 2009b), consistent with the temperature inferred from the emission spectrum that places the dust at 1 au (Lisse et al. 2007); at such a location, the dust is inferred to be transient (Wyatt et al. 2007a).

The lack of cold emission rules out several models for the origin of the dust, including the eccentric planetesimal belt model as applied to  $\eta$  Corvi above. Although an eccentric ring alleviates concerns about the longevity of the disc feeding the dust at 1 au, the hot dust is always accompanied by cold emission from collisional cascade material at apocentre (Fig. 6b). One way around this is to postulate that there is little cross-sectional area in the collisional cascade, e.g. because small grains have been removed by sublimation (Section 4.2.2). Fig. 8 shows a model which fits the emission spectrum by only including the blow-out component comprised of solid grains of 1 µm in diameter composed of one-third amorphous silicate and two-third organic refractory material; note again that the model is used only to fit the overall shape of the spectrum and does not claim the level of detail required to constrain the composition. Dust in this model extends out from the 1.2 au pericentre of a ring with an eccentricity of 0.99; the spectrum is insensitive to eccentricity, however, this does have a moderate impact on the inferred mass loss rate through equation (41). As well as nearly fitting the 70 µm upper limit, this model also meets the mid-infrared imaging constraints, since it predicts the 18.7 µm flux within a 0.5 arcsec aperture to be 412 mJy (compared with 377  $\pm$  46 mJy found by Smith et al. 2009b), with just 30 mJy coming from the 2-4 arcsec region. As for Section 4.3 the model was analysed assuming the emission to originate in two annuli at  $2 \pm 2$  and  $6 \pm 2$  au with disc



**Figure 8.** Emission spectrum of HD 69830 modelled using a single planetesimal population with pericentres at 1.2 au and an eccentricity of 0.99 (see text for details). The solid line shows the contribution from blow-out grains; for this to be a viable model the emission from the collisional cascade component has been suppressed, e.g. due to the sublimation of its smallest grains. The diagonal solid line is the stellar spectrum, the grey dotted line is the IRS spectrum after the photospheric contribution has been subtracted, and asterisks and squares are the *IRAS* and *Spitzer* photometric fluxes, respectively, after photosphere subtraction (and colour correction where necessary).

fluxes of 121 and 29 mJy, respectively. Comparison with fig. 7 of Smith et al. (2009b) shows that both annuli are below the threshold at which extended emission should have been detected in these observations, but that extension would have been detected from the outer annulus in observations twice as deep, a conclusion which holds regardless of the origin of the dust as long as it is assumed to be in the process of radiation pressure blow-out. Without material <1.2 au, the model emission would be expected to be completely resolved on MIDI baselines in line with observations (Smith et al. 2009b).

This model gives a mass loss rate of 0.08 M<sub>⊕</sub> Myr<sup>-1</sup>, which is higher than the  $5-60 \times 10^{-6} \,\mathrm{M_{\oplus}\,Myr^{-1}}$  quoted in Beichman et al. (2005) and Wyatt et al. (2007a), since those papers assumed mass loss due to collisions rather than radiation pressure. Assuming that this mass loss comes from a parent population in collisional equilibrium, this implies a parent population of  $160 \, M_{\oplus}$ , similar to the  $90-900\,M_{\oplus}$  calculated in Beichman et al. (2005) based on an extrapolation from the Hale-Bopp mass loss rates. It seems that such a high remaining mass is prohibitive after 2 Gyr of processing, since this can only be achieved for e > 0.998 for the fiducial value of  $K = 2 \times 10^{-14}$  or e > 0.993 for the slightly higher K-value used for  $\eta$  Corvi modelling (Section 4.3). Even if it was possible to implant such a large mass at high eccentricities, there would still be the concern raised in Section 4.2.2 about whether comet sublimation would also produce bound non-sublimating grains that would increase the cold emission from the collisional cascade. Thus, a steady-state explanation is not favoured.

An alternative model invokes a recent collision as the origin of the dust. In an eccentric ring, such events can occur frequently enough for this model to be viable (Section 4.2.3). A planetesimal belt mass of  $1 \,\mathrm{M}_{\oplus}$  results in  $P(f > 0.2 \times 10^{-3}) = 0.06$  assuming that collisions result in 1 µm grains. Since the observed grains also seem to be small enough to be blown out by radiation pressure, the probability of witnessing such an event is in fact lower than that derived in Section 4.2.3 and quoted above: a short grain lifetime either implies that the collision occurred very recently (i.e. within the blow-out time-scale of several years) or that the dust is the product of ongoing secondary collisions or sublimation amongst the debris of an older more massive (and hence rarer) collision. The persistence of 24 µm excess over 24 yr time-scales between the epochs of Infrared Astronomical Satellite (IRAS) and Spitzer (Lisse et al. 2007) rules out a very recent origin. This leaves ongoing secondary collisions or sublimation in a debris belt which would have to contain  $> 2 \times 10^{-6} \,\mathrm{M}_{\oplus}$  to sustain a mass loss of  $0.08 \,\mathrm{M}_{\oplus} \,\mathrm{Myr}^{-1}$  over 24 years. Equation (45) shows that such debris belts might only be recreated in collisions every 24 000 years for a planetesimal belt containing  $1 M_{\oplus}$  at 2 Gyr. In other words, we have a 1:1000 chance of witnessing the aftermath of such an event, a conclusion which holds even if the mass loss had been assumed to persist over longer time-scales (since the increased debris belt mass required would have been recreated in collisions correspondingly less frequently). Since 1 M<sub>⊕</sub> is not an implausible remnant mass for an eccentric planetesimal belt (see Section 3.4), we consider secondary collisions to be a possible explanation for HD 69830, even if some process like sublimation must be invoked to deplete small grains and render the collisional cascade of the parent planetesimal belt non-detectable.

#### 5 CONCLUSION

This paper considers collisional processes in a population of planetesimals with high eccentricities. Collision rates are derived both analytically and using Monte Carlo simulations. It was found that eccentricity has a significant effect on collision rates and that the amount of mass that can remain in a planetesimal belt at late times can be significantly increased.

The emission properties of eccentric planetesimal belts were presented, and it was found that radiation pressure causes eccentric rings to be deficient in small particles. Thus, despite the increased mass of a high eccentricity planetesimal belt at late times, extreme eccentricities of >0.99 are required to enhance the emission level above that expected from a low eccentricity belt. However, the high mass loss rate of extreme eccentricity planetesimal belts can cause the wind of blow-out particles that extends outwards from the pericentre to be detectable. The high frequency of massive collisions in such belts can also make it likely for us to be witnessing dust produced in such collisions.

Application of this model to the  $\eta$  Corvi debris disc showed that all available observations can be explained by an extreme eccentricity (e=0.99) planetesimal belt of a mass of 5  ${\rm M}_{\oplus}$ , circumventing the conclusion that the hot dust at 1.7 au must be transient. Despite this success, the dynamical challenges of creating such a massive extreme eccentricity population would need to be overcome before this model can be considered viable. Observational tests are suggested including the presence of resolvable emission (and absence of planets) in the 3–100 au region. Application to HD 69830 is complicated by the lack of far-infrared emission. It may be possible to explain this non-detection by the removal of small dust from the collisional cascade by sublimation, in which case the mid-infrared emission may be plausibly explained by the ongoing destruction of a debris belt produced in a recent collision in an eccentric planetesimal belt.

Although the majority of the discussion focuses on single eccentricity populations, the results can also be applied to populations with a range of semimajor axes and eccentricities. This was demonstrated by application to scattered disc-like populations where it was found that, in the absence of other dynamical processes, rapid collisional erosion of the low eccentricity populations would be expected to result in a single high eccentricity population. Since the known high eccentricity planetesimal populations are produced in interactions with planets, and so may be continuing to undergo dynamical evolution on time-scales shorter than collisional timescales, it is noted that dynamical interactions may continue to play a defining role in the long-term evolution of high eccentricity populations and that the collisional evolution scheme presented here could be readily incorporated into N-body simulations of planetplanetesimal interactions to derive simultaneously the collisional and dynamical evolution of a scattered planetesimal population. A further extension of the model would include a prescription for planetesimal strength as a function of size which would lead to a departure from the single phase size distribution assumed here (e.g. Löhne et al. 2008).

The results of this study would be applicable wherever non-negligible planetesimal eccentricities are found. Thus, other potential applications include the Solar system's comet and Near Earth Asteroid populations, particularly in the early phases when these populations would have been more massive and hence collisional processing more important (Booth et al. 2009), the outcome of planet formation models (e.g. Payne et al. 2009), and systems where eccentric planetesimals may be implicated such as the origin of dust around white dwarfs (Farihi, Jura & Zuckerman 2009) and of the hottest dust population of debris discs such as Vega (Absil et al. 2006).

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